



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/872,990	05/31/2001	Owen P. Ward	2570LI-1

CONFIRMATION NO. 3149

## FORMALITIES LETTER



\*OC000000006374674\*

Robert D. Traver  
SHERIDAN ROSS P.C.  
1560 Broadway, Suite 1200  
Denver, CO 80202-5141

Date Mailed: 08/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/25/2002 VTRUONG1 00000046 09872990

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65.00 DP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

the Application of:

WARD et al.

Serial No.: 09/872,990

Filed: May 31, 2001

Atty. File No.: 2570LI-1

For: "TREATMENT OF SEWAGE  
SLUDGE"

Commissioner of Patents  
Box Missing Parts  
Washington, D.C. 20231

) Group Art Unit:

) Examiner:

) SUBMISSION OF MISSING PARTS

<p style="text-align: center;">CERTIFICATE OF MAILING</p> <p>I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231 ON <u>1-31-02</u></p> <p style="text-align: right;">SHERIDAN ROSS P.C.</p> <p>BY: <u>James Messer</u></p>
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Dear Sir:

In response to the Notice to File Missing Parts dated August 2, 2001, in connection with the above-identified application, enclosed herewith please find a Declaration, Power of Attorney and Small Entity Statement. Also enclosed please find a check in the amount of \$65.00 for payment of the surcharge. A copy of the Notice to File Missing Parts is also enclosed. Please note that the Assignment documents have been submitted to the Assignment Branch under separate cover on this same date.

Respectfully submitted,

SHERIDAN ROSS P.C.

By: ROBERT D. TRAVER  
Robert D. Traver  
Registration No. 47,999  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
(303) 863-9700

Date: 31 JAN 2002



#61 Reg for  
refund

PATENT 04-17-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

WARD et al.

Serial No.: 09/872,990

Filed: May 31, 2001

Atty. File No.: 2570LI-1

For: "TREATMENT OF SEWAGE  
SLUDGE"

Commissioner of Patents  
Washington, D.C. 20231

) Group Art Unit:

) Examiner:

) REQUEST FOR REFUND UNDER 37 CFR 1.26

<p style="text-align: center;">CERTIFICATE OF MAILING</p> <p>I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO THE ASSISTANT COMMISSIONER OF PATENTS, WASHINGTON, DC 20231 ON <u>1-31-02</u></p> <p style="text-align: right;">SHERIDAN ROSS P.C.</p> <p>BY: <u><i>Janice Messer</i></u></p>
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Dear Sir:

In connection with the filing of the above-identified U.S. patent application, Applicant hereby requests a refund in the amount of \$427.00. When the application was filed, the filing fee submitted was \$854.00, based on a filing by a "large entity". Accompanying this "Request for Refund" is a copy of the "Verified Statement (Declaration) Claiming Small Entity Status" signed by Frank W. Hovey, President of Lystek International, Inc., the assignee of the above-identified application. In light of the filing of this Small Entity Declaration, it is respectfully submitted that small entity status should be accorded the application.

It is respectfully requested that the amount of \$427.00 be returned by check made payable to the below-listed firm, on behalf of the assignee.

Respectfully submitted,

SHERIDAN ROSS P.C.

By: *Robert D. Traver*

Robert D. Traver  
Registration No. 47,999  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
(303) 863-9700

Date: 31 JAN 2002